

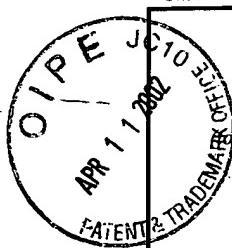
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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## TRANSMITTAL FORM

(be used for all correspondence after initial filing)

TRANSMITTAL FORM (be used for all correspondence after initial filing)		Application Number	09/993,771
		Filing Date	November 27, 2001
		First Named Inventor	Yoshiaki HASEGAWA et al.
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	0819-0703

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawings (13 Sheets) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Preliminary Amendment
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	
Date	3-21-02

### CERTIFICATE OF MAILING

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Burden Hour Statement: This form is estimated to take 9.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )

Yoshiaki HASEGAWA et al. )

Serial No. 09/993,771 )

Filed: November 27, 2001 )

METHOD FOR MANUFACTURING)

SEMICONDUCTOR AND METHOD)

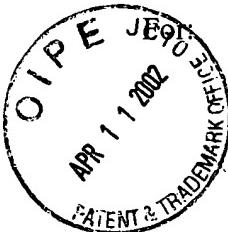
FOR MANUFACTURING )

SEMICONDUCTOR DEVICE )

) Attn: Application Branch

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**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**OF APPLICATION - FILING DATE GRANTED**

Honorable Commissioner for Patents

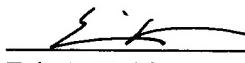
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, under 37 CFR 1.53(b) dated January 22, 2002, submitted herewith is an English translation of the application with declaration, substitute drawings in compliance with 37 CFR 1.84 and a copy of Notice to File Missing Parts of Application - Filing Date Granted along with the fee under 37 CFR 1.16. (Translation Fee - \$130 and Additional Claim Fee - \$84).

The above fees are covered by the attached check in the amount of \$214.00. Should there be any additional fees required for proper filing of the attached documents, please charge Deposit Account No. 19-2380 (0819-0703). Prompt issuance of a filing receipt is respectfully requested.

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285

NIXON PEABODY LLP  
8180 Greensboro Drive  
McLean, Virginia 22102  
(703) 790-9110



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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

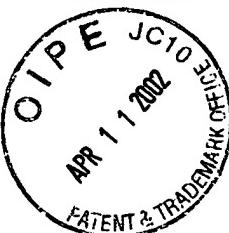


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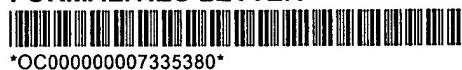
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,771	11/27/2001	Yoshiaki Hasegawa	740819-703

22204  
NIXON PEABODY, LLP  
8180 GREENSBORO DRIVE  
SUITE 800  
MCLEAN, VA 22102



Repln. Ref: 05/30/2002 SSALEEKU 0008242600  
DA: 192380 Name/Number: 09993771  
FC: 704 \$84.00 CR

CONFIRMATION NO. 7630  
FORMALITIES LETTER



\*OC000000007335380\*

Date Mailed: 01/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/30/2002 SSALEEKU 00000008 09993771

**FILED UNDER 37 CFR 1.53(b)**

01 FC:139 130.00 OP

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

Adjustment date: 05/30/2002 SSALEEKU  
04/15/2002 DABRAV01 00000011 09993771  
01 FC:105 Drawing figures contain text that is not in English (including, for example, a flow chart  
that was originally not in English that has been marked up to include the English text)  
see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

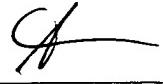
04/15/2002 DABRAV01 00000011 09993771

01 FC:105 130.00 OP

04/15/2002 DABRAV01 00000011 09993771

02 FC:102 *A copy of this notice MUST be returned with the reply.*

Adjustment date: 05/30/2002 SSALEEKU  
04/15/2002 DABRAV01 00000011 09993771  
02 FC:102 -84.00 OP



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PART 2 - COPY TO BE RETURNED WITH RESPONSE